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28571

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Number	09/496.249
Filing Date	02 FEBRUARY 2000
First Named Inventor	HORWARTH
Group Art Unit	2857
Examiner Name	WEST. J.

Total Number of Pages in This Submission

82

Attorney Docket Number CIN-0002-US

## ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Auth. to charge Dep. Acct.; replacement copy of prior ref.; return-receipt postcard |
|---|---|--|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Daniel C. Stelter Counsel for Applicant(s) Registration No. 40,830
Signature	
Date	28 February 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 28 Feb 2002

Typed or printed name	Daniel C. Stelter, Registration No. 40,830
Signature	

Date 28 Feb 2002

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 400.00

## Compleat if Known

Application Number	09/496,249
Filing Date	02 FEBRUARY 2000
First Named Inventor	HORWARTH
Examiner Name	WEST, J.
Group Art Unit	2857
Attorney Docket No.	CIN-0002-US

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0760  
Deposit Account Name: CINCINNATI MACHINE

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	400.00
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

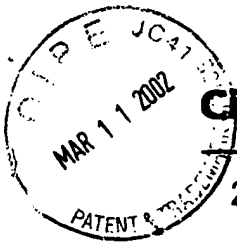
\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ ) 400.00

## SUBMITTED BY

Name (Print/Type)	Daniel C. Stelter	Registration No. (Attorney/Agent)	40,830	Telephone	513.841.7032
Signature	<i>[Signature]</i>	Date	28 Feb 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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# Cincinnati Machine

28 February 2002

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## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that a true and accurate copy of the correspondence listed below is being deposited with the U.S. Postal Service in an envelope addressed to the Honorable Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231, for first-class mail delivery, postage pre-paid, on this the 28th day of February, 2002.

4701 Marburg Avenue  
Cincinnati, Ohio 45209  
513.841.7032 telephone  
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dan\_stelter@cinnmach.com

  
Daniel C. Stelter, Registration No. 40,830

Honorable Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**RE: REPLY TO RESTRICTION REQUIREMENT**

**Applicants : HORWARTH, HOWARD**

**Title : APPARATUS AND METHOD FOR MONITORING ALIGNMENT OF  
A CNC MACHINE SPINDLE TRUNNION AXIS A**

**Serial No. : 09/496,249**

**Filed on : 02 February 2000**

**Our Ref. : CIN-0002-US**

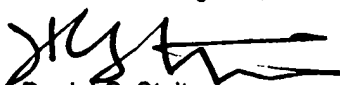
Dear Commissioner:

Enclosed herewith for filing, please find the following:

1. Transmittal After Filing, Form PTO/SB/21, 1 sheet;
2. Fee Transmittal, Form PTO/SB/17; 1 sheet;
3. Reply to Restriction Requirement, 2 sheets;
4. Request for Extension of Time Under 37 C.F.R. § 1.136(a), 2 sheets;
5. Authorization to Charge Deposit Account, 2 sheets;
6. Supplemental Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)(3), 1 sheet, with a copy of the references cited therein;
7. Replacement Copy of reference cited in Applicants' Information Disclosure Statement filed on 02 February 2000; and,
8. a self-addressed, postage pre-paid, return-receipt postcard.

Please stamp the enclosed postcard and return it to us to indicate your receipt of the above-referenced documents. Thank you for your assistance in this matter.

With kindest regards,



Daniel C. Stelter  
Counsel for Applicants  
Registration No. 40,830

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COPY OF PAPERS  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): **HORWARTH, et al.**

Serial No.: **09/496,249**

Filing Date: **02 February 2000**

Entitled: **APPARATUS AND METHOD FOR  
MONITORING ALIGNMENT OF A  
CNC MACHINE SPINDLE  
TRUNNION AXIS A**

Examiner: **WEST, J.**

Our File Ref.: **CIN-0002-US**

Group Art Unit: **2857**

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**AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

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Honorable Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Dear Commissioner:

Now come Applicants, by counsel, and hereby respectfully request the U.S. Patent and Trademark Office to charge Applicants' deposit account no. 50-0760 in the amount of \$400.00 for payment of the fee for a two-month extension of time under 37 C.F.R. § 1.136(a). Applicants request any overpayment be credited to the deposit account listed above.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

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